

Proposal Form 10
DESCRIPTION OF HEALTH AND SAFETY PLAN

Describe below the safety training, health and safety manual and all other components of the proposed health and safety plan for the SMaRT Station. Compliance with the approved Plan will be required by the Agreement (see Appendix C, **Exhibit J, Section 12**)

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Proposal Form 11
LIST OF EQUIPMENT (ROLLING STOCK)
TO BE FURNISHED BY PROPOSER
(TO BE INCLUDED AS EXHIBIT H-2 TO THE AGREEMENT)

	<u>Name/Description</u>	<u>Manufacturer</u>	<u>Quantity</u>
I.	REFUSE HAULING EQUIPMENT		
A.	Trucks		
1.			
2.			
3.			
4.			
5.			
B.	Transfer Trailers		
1.			
2.			
3.			
4.			
5.			
C.	Other Equipment		
1.			
2.			
3.			
4.			
5.			

Proposal Form 11
LIST OF EQUIPMENT (ROLLING STOCK)
TO BE FURNISHED BY PROPOSER
(TO BE INCLUDED AS EXHIBIT H-2 TO THE AGREEMENT)

Name/Description

Manufacturer

Quantity

II. MIXED REFUSE HANDLING ROLLING STOCK (DOZERS, BOBCATS, ETC.)

1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			
14.			
15.			

III. MRF/RECOVERED MATERIALS ROLLING STOCK (ROLL-OFF TRUCKS, ETC.)

1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			

Proposal Form 11
LIST OF EQUIPMENT (ROLLING STOCK)
TO BE FURNISHED BY PROPOSER
(TO BE INCLUDED AS EXHIBIT H-2 TO THE AGREEMENT)

9.			
10.			
11.			
12.			
13.			
14.			
15.			

Name/Description

Manufacturer

Quantity

IV. WOOD & YARD TRIMMINGS ROLLING STOCK

1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

V. OTHER ROLLING STOCK

1.			
2.			
3.			
4.			
5.			

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Proposal Form 12A
ANNUAL OPERATING COST – SMaRT STATION
EXISTING MSW PROCESSING EQUIPMENT
(January 1, 2008 – December 31, 2015)

State Cost in 2006 Dollars
Assume current tonnage levels and existing MSW processing equipment

Element	Cost (\$/Year)	Percent of Total
PERSONNEL-TRANSFER		
- Administrative (Number _____)	_____	_____
- Operating (Number _____)	_____	_____
- Maintenance (Number _____)	_____	_____
PERSONNEL-MRF		
- Administrative (Number _____)	_____	_____
- Operating (Number _____)	_____	_____
- Maintenance (Number _____)	_____	_____
Note: Above personnel pricing includes a fringe benefit multiplier of _____ percent.		
FACILITY OPERATION & MAINTENANCE		
- Contract Services	_____	_____
- Utilities	_____	_____
- HVAC/Dust Control, etc.	_____	_____
- Supplies	_____	_____
- Landfill Gas Monitoring	_____	_____
FACILITY EQUIPMENT MAINTENANCE		
- Compactor/Conveyor	_____	_____
- Wood/Yard Trimmings	_____	_____
- All Sanitary MRF Equipment	_____	_____
- Other	_____	_____
FACILITY ROLLING STOCK (Excluding transfer vehicles)		
- Rolling Stock (Dozers, Bobcats, etc.)	_____	_____
- Wood /Yard Trimmings Rolling Stock	_____	_____
- MRF Rolling Stock	_____	_____
- Rolling Stock Maintenance	_____	_____

Proposal Form 12A
ANNUAL OPERATING COST – SMarT STATION
EXISTING MSW PROCESSING EQUIPMENT
(January 1, 2008 – December 31, 2015)

- Rolling Stock Spare Parts	_____	_____
- Fuel	_____	_____
- Other	_____	_____
	Cost	Percent
Element	(\$/Year)	of Total

TRANSFER OPERATIONS

- Transfer Trucks and Trailers	_____	_____
- Refuse Hauling Spare Parts	_____	_____
- Refuse Hauling Service and Supplies	_____	_____
- Refuse Hauling Fuel	_____	_____
- Other	_____	_____

INSURANCE

- Annual Premium Cost (Fire, Liability, etc.)	_____	_____
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INDIRECT OPERATING COSTS

- Management Fee	_____	_____
- Return on Equity for Rolling Stock (if applicable)	_____	_____

Total Operating Cost _____ **100%**

LESS: Projected Recycling Revenue (_____)

LESS: Public Haul Gate Fee (_____)

NET REVENUE REQUIREMENT _____

Note: Clearly state all assumptions regarding Projected Recycling Revenue

Proposal Form 12B
ANNUAL OPERATING COST – SMaRT STATION
NEW MSW PROCESSING EQUIPMENT
(January 1, 2008 – December 31, 2015)

State Cost in 2006 Dollars
Assume current tonnage levels and new MSW processing equipment

Element	Cost (\$/Year)	Percent of Total
PERSONNEL-TRANSFER		
- Administrative (Number _____)	_____	_____
- Operating (Number _____)	_____	_____
- Maintenance (Number _____)	_____	_____
PERSONNEL-MRF		
- Administrative (Number _____)	_____	_____
- Operating (Number _____)	_____	_____
- Maintenance (Number _____)	_____	_____
Note: Above personnel pricing includes a fringe benefit multiplier of _____ percent.		
FACILITY OPERATION & MAINTENANCE		
- Contract Services	_____	_____
- Utilities	_____	_____
- HVAC/Dust Control, etc.	_____	_____
- Supplies	_____	_____
- Landfill Gas Monitoring	_____	_____
FACILITY EQUIPMENT MAINTENANCE		
- Compactor/Conveyor	_____	_____
- Wood/Yard Trimmings	_____	_____
- All Sanitary MRF Equipment	_____	_____
- Other	_____	_____
FACILITY ROLLING STOCK (Excluding transfer vehicles)		
- Rolling Stock (Dozers, Bobcats, etc.)	_____	_____
- Wood /Yard Trimmings Rolling Stock	_____	_____
- MRF Rolling Stock	_____	_____
- Rolling Stock Maintenance	_____	_____

Proposal Form 12B
ANNUAL OPERATING COST – SMarT STATION
NEW MSW PROCESSING EQUIPMENT
(January 1, 2008 – December 31, 2015)

- Rolling Stock Spare Parts	_____	_____
- Fuel	_____	_____
- Other	_____	_____
Element	Cost (\$/Year)	Percent of Total

TRANSFER OPERATIONS

- Transfer Trucks and Trailers	_____	_____
- Refuse Hauling Spare Parts	_____	_____
- Refuse Hauling Service and Supplies	_____	_____
- Refuse Hauling Fuel	_____	_____
- Other	_____	_____

INSURANCE

- Annual Premium Cost (Fire, Liability, etc.)	_____	_____
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INDIRECT OPERATING COSTS

- Management Fee	_____	_____
- Return on Equity for Rolling Stock (if applicable)	_____	_____

Total Operating Cost _____ **100%**

LESS: Projected Recycling Revenue (_____)

LESS: Public Haul Gate Fee (_____)

NET REVENUE REQUIREMENT _____

Note: Clearly state all assumptions regarding Projected Recycling Revenue

Proposal Form 13
BASIC ANNUAL PAYMENT AND TIPPING FEES FOR EXCESS TONNAGE

(State Price in 2006 Dollars)

The Proposer will perform all work and services required by the Agreement, including the operation of the Station, processing and marketing of recyclable materials, and the transportation of Municipal Solid Waste for disposal, in the manner at the times, for the compensation specified in **Article 5** of the Agreement.

Basic Annual Payment	Without Palo Alto Recyclables	With Palo Alto Recyclables
Existing MSW Processing Equipment	\$ _____ per year	N/A
New MSW Processing Equipment	\$ _____ per year	\$ _____ per year N/A

Tipping Fee for Excess Tonnage	Without Palo Alto Recyclables	With Palo Alto Recyclables
Existing MSW Processing Equipment	\$ _____ per ton	N/A
New MSW Processing Equipment	\$ _____ per ton	\$ _____ per year N/A

Note: The Basic Annual Payment is for receiving up to 280,000 tons per year of MSW and yard trimmings. The Tipping Fee for Excess Tonnage applies to tons of yard trimmings and MSW in excess of 280,000 per year. The Operator will not be paid a tipping for receipt and processing of Palo Alto Recyclables, and will share with the City the revenue from the sale of those recyclables per **Article 5** of the Agreement.

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Proposal Form 14
MATERIALS RECOVERY AND MARKETING PLAN

Provide a narrative description detailing the materials recovery, processing, sorting, storage, transport, and marketing planned for the mixed refuse and curbside recycling and any other source separated recycling materials received from the cities, excluding yard trimmings and other organics. Include in graphic form the flow of materials through the station. Each major component of the materials recovery, transfer and marketing operation should be shown, thoroughly described and approximate quantities of solid waste processed listed. Include a description of the estimated quantities to be recovered or produced for marketing, the specifications of the materials that will be marketed (e.g. Number 8 Newspaper), and expected markets/users of the materials /products. Include a materials marketing plan for each material. **Appendix J** provides an example "Materials Recovery and Marketing Plan". This plan shall include procedures for operating both the existing MSW processing equipment and the new MSW processing equipment being considered by the City.

Note: This description and plan will be used as the basis for **Exhibit B-1** to the Agreement.

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Proposal Form 15A
ORGANICS MARKETING PLAN

Provide a narrative description detailing the processing, sorting, screening, grinding, transport, and marketing planned for:

- Yard trimmings
- Residential Yard Trimmings Co-collected with Food Waste (if implemented by the Member Agencies)
- Commercial Food Waste (if implemented by the Member Agencies)
- Organic MRF Fines (at Proposer's Option)

Include in the narrative the compost facilities and biomass plants to be utilized. State which processing activities (sorting, grinding, screening) will take place at the Station and which will take place at the receiving facilities. Specify whether the organics will be transported from the Station to the compost facilities or biomass plants using Contractor's vehicles and employees assigned to the Station, or if they will be transported by a third party. For each facility to be utilized, please attach a contract, letter of intent, or other documentation, signed by an authorized representative of the compost facility or biomass plant demonstrating that Proposer has sufficient capacity for Organics from the Station for the initial term of the Agreement. State whether or not Proposer intends to compost organic MRF fines. In addition, please complete Form 14b on the following page.

Note: This description, plan, and proposed organics processing costs will be used as the basis for **Exhibit B-3** to the Agreement.

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Proposal Form 15B
ORGANICS PROCESSING COSTS

		Yard Trimmings	Residential Yard Trimmings Co- Collected with Food Waste	Commercial Food Waste	Organic MRF Fines
A	% of material to compost facility		100%	100%	100%
B	Processing to take place at SMaRT (e.g. grinding, screening, sorting)				
C	Composting facility (name, location, SWIS #)				
D	Transportation plan (SMaRT vehicles vs. third party)				
E	SMaRT processing/handling cost (\$/ton)				
F	SMaRT vehicle transportation cost (\$/ton)				
G	Third party vehicle transportation cost (\$/ton)				
H	Tipping Fee at compost facility (\$/ton)				
I	Disposition cost (\$/ton) G + H				
J	Projected increase in disposition cost over the term of the operating agreement (% per year)				

Notes:

1. The disposition cost (I) will be considered a negative recyclables revenue to be shared between the City and Operator
2. If the proposed transportation plan is to utilize SMaRT vehicles, the third party vehicle transportation (G) will be \$0 per ton
3. The purpose of this form is to provide the information necessary to estimate the impact of the disposition cost of various organics materials on shared recyclables revenues.
4. Residential yard trimmings co-collected with food waste are anticipated to contain less than 5% food waste by weight.

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Proposal Form 16
ANTI-COLLUSION AFFIDAVIT

The following affidavit is submitted by Proposer as part of this proposal:

The undersigned deponent, of lawful age, being duly sworn, upon his oath deposes and says: that he has lawful authority to execute the within and foregoing proposal; that he has executed the same by subscribing his name hereto under oath for and on behalf of said Proposer; that Proposer has not directly or indirectly entered into any agreement, express or implied, with any proposer or proposers, having for its object the controlling of the price or amount of such proposal or proposals, the limiting of the proposals or proposers, the parceling or farming out to any proposer or proposers or other persons of any part of the contract or any part of the subject matter of the proposal or proposals or of the profits thereof; and that he has not and will not divulge the sealed proposal to any person whomsoever, except those having a partnership or other financial interest with him in said proposal or proposals, until after the said sealed proposal or proposals are opened.

Deponent further states that the Proposer has not been a party to any collusion among Proposers in restraint of freedom of competition; by agreement to make a proposal at a fixed price or to refrain from submitting a proposal; or with any state official or employee as to quantity, quality, or price in the prospective contract; or in any discussions between proposers and any City official concerning exchange of money or other things of value for special consideration in letting of a contract; that the Proposer/ contractor has not paid, given or donated or agreed to pay, give or donate to any official, officer or employee of the City of Sunnyvale, directly or indirectly, in the procuring of the award of contract pursuant to this proposal.

Executed under penalty of perjury on this _____ day of _____, 2006, at

SIGNED: _____

BY: _____

Name of Proposer

TITLE: _____

Subscribed and sworn to before me this _____ day of _____, 2006, at

Notary Public: _____

My Commission expires: _____

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Proposal Form 17
PENDING LITIGATION

Please list all civil or criminal legal actions now pending or threatened against the Proposer. Please provide (1) the name of the action, (2) the court in which it is pending 3) the action number, (4) the amount at issue, and (5) a brief statement of the current status of all criminal actions and all civil actions involving amounts greater than 10 percent of your firm's annual revenue.

If the proposer is a corporation, Please include in your answer all actions which are pending that involve as a party a current corporate officer or a person who served as an officer within the last two years, which arise from the officer's activities on behalf of the corporation.

If Proposer is a subsidiary of a "parent" corporation, please include below all actions involving that "parent" corporation.

If Proposer is a partnership, please include below all legal actions involving individual partners, which arise from their activities on behalf of the partnership, not otherwise disclosed in your answer.

(Attach additional pages as necessary).

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Proposal Form 18
EXCEPTIONS TO PROPOSED AGREEMENT

List any and all sections of the proposed Agreement that the Proposer takes exception to; the basis for the exception and proposed specific alternate language, if applicable. Attach additional pages as necessary.

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Proposal Form 19
IDENTIFICATION OF SURETY

If the Proposer is awarded a contract on this proposal, the surety that will provide the performance bond described in **Section 7.03** of the Agreement will be:

_____ whose address is

_____, _____, _____
Street City State

Telephone Number

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Proposal Form 20
CLEAN AIR FUELS PLAN

(1) Specify the type of clean air fuel to be used in all rolling stock (forklifts, pick-up trucks, etc.) excluding loaders and Transfer Vehicles.

(2) Use the following form to provide an alternative proposal for fueling loaders and Transfer Vehicles utilizing a clean air fuel of the Proposer's choosing. Proposer shall state the difference, if any, that utilizing the proposed clean air fuel would cause in its proposed annual compensation and the per ton compensation for additional tons should the City choose to select this alternative. Proposer may, at its own option, elect to propose two or more different types of clean air fuels for loaders and Transfer Vehicles, itemizing the corresponding impact on proposed compensation for each. Attach additional forms as necessary.

	Transfer Vehicles	Loaders
Clean Air Fuel		
Number of Vehicles/Loaders		
Vehicle/Loader Manufacturer and Model #		
Cost per Vehicle/Loader		
Impact on Basic Annual Payment	\$ ____ / year (increase or decrease)	\$ ____ / year (increase or decrease)
Impact on Tipping Fee for Additional Tons	\$ ____ / ton (increase or decrease)	\$ ____ / ton (increase or decrease)

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Proposal Form 21
MAINTENANCE PLAN

Provide a maintenance plan for the facility, equipment and rolling stock. This plan shall include a description of types of repairs and maintenance to be performed on-site and off-site, plans for preventive maintenance and plans for unscheduled repairs. Include number of maintenance personnel by job classification.

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